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CHILL OF PETITION PTO/SB/97 (08-03)
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(703) 872-9306; Attn: Petitions Branch

on	6/23/2004	
O: 1_	Date	
	_	Brien C. M. Cornact Signature
		Brian C. McCormack, Reg. No. 36601
		Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

FOR S/N 09/765,966

- 1. STATEMENT OF MAILING PURSUANT TO MPEP 513(d) with Exhibits:
- A Petition to Withdraw Holding of Abandonment and accompanying documents originally filed December 29, 2003;
- B Supplemental Petition under 37 CFR 1.181 and accompanying documents originally filed February 24, 2004; and
- 2. Facsimile transmission cover sheet

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case, Any comments on the amount of time you require to complete this form end/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

JUN 2 4 2004

UTILITY PATENT APPLICATION Atty. Docket No. 87157656-242004

CHICE OF PETITIONS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OFFICIA

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In re Application of: Linkai Bu et al.

Serial No.:

09/765,966

Filed:

January 19, 2001

For:

DYANAMIC CMOS LEVEL-

SHIFTING CIRCUIT

APPARATUS

Group Art Unit: Not yet assigned 2 3 2004

Examiner: Not yet assigned

June 23, 2004

Via Facsimile (703) 872-9306 Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450 Attn Mail Stop: Petitions

STATEMENT OF MAILING PURSUANT TO MPEP 513(d)

Applicant filed a Petition to Withdraw Holding of Abandonment on December 29, 2003 pursuant to 37 CFR 1.181. In addition, Applicant filed a Supplemental Petition on February 24, 2004 pursuant to 37 CFR 1.181. Receipt of both of these correspondences by the Patent Office is confirmed by receipt of their respective return-receipt postcards. Pursuant to a telephone conference on June 22, 2004 with Examiner Derek Woods of the Petitions Branch, it was determined, however, that these correspondences have been lost at the Patent Office.

Copies of the Petition to Withdraw Holding of Abandonment, transmittal documents and return-receipt postcard reflecting receipt by the Patent Office on December 31, 2003 are attached herewith as Exhibit A.

-1-

Application No.: 09/765,966

. PATENT

Copies of the Supplement Petition under 37 CFR 1.181, transmittal documents and return-receipt postcard reflecting receipt by the Patent Office on February 24, 2004 are attached herewith as Exhibit B.

On personal knowledge, the below-signed attorney of record attests that the Petition to Withdraw Holding of Abandonment was filed pursuant to 37 CFR 1.10 on December 29, 2003, express mail number EV417296549US and that the Supplemental Petition under 37 CFR 1.181 was filed pursuant to 37 CFR 1.10 on February 24, 2004, express mail number EV417296725US.

Applicant hereby requests entry of the Petition and Supplemental Petition. Applicant submitted the required fees in the Supplemental Petition filed February 24, 2004; therefore, no additional fees are enclosed. The Commissioner is hereby authorized to debit deposit account number 13-0480 in the event any additional fees are required referencing attorney docket number 87157656.242004.

If the Examiner has any questions or would like to discuss this statement, a telephone conference to the below-signed attorney of record is greatly appreciated.

Respectfully submitted,

Brian C. McCormack, Reg. No. 36,603

Baker & McKenzie
Attorney for Applicant(s)

Attorney for Applicant(s) (214) 978-3007

(214) 978-3007 (214) 978-3099 (fax)

EXHIBIT A





Date of Mailing:

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Brian McCormack

December 29, 2003



JUN 2 4 2004

PTO/SB/21 (08-03) Approved for use through 08/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no person are required to reasoned to a collection of information unless it displays a valid OMB control number Application Number 09/765,966 TRANSMITTAL Filing Date 01/19/2001 **FORM** First Named Inventor Linkai Bu Art Unit (to be used for all correspondence after initial filing) Unassigned Examiner Name Unassigned Attorney Docket Number Total Number of Pages in This Submission 87157656.2004 **ENCLOSURES** (Check all that apply) ~ After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Fee Attached Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Amendment/Reply Petition with Exhibits A-D Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Provisional Application Proprietary Information Power of Attorney, Revocation Affidavits/declaration(s) Change of Correspondence Address Status Letter Other Enclosure(s) (please Extension of Time Request Terminal Disclaimer Identify below): Express Abandonment Request Request for Refund Return Postcard Information Disclosure Statement CD, Number of CD(s) Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Brian C, McCormack Baker & McKenzie Individual name Signature Mas Date 12/29/2003 CERTIFICATE OF TRANSMISSION/MAILING EV417296549US I hereby certify that this correspondence is being fecsimila transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as express mail in an envelope addressed to: Attn. Mail Stop: Petitions, Commissioner for Patents, P.O. Box the date shown below. 1450. Alexandria, VA 22313-1450 Typed or printed name Clarissa Arellano Signature ائدوودمه Date 12/29/2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, in including athering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

If you need assistence in completing the form, call 1-800-PTO-9199 and select option 2.

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WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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OFFICIAL

UTILITY PATENT APPLICATION
Atty. Docket No. 87157656-002004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED
CENTRAL FAX CENTER

JUN 2 3 2004

In re Application of: Linkai Bu et al.

Serial No.:

09/765,966

Filed:

January 19, 2001

For:

DYANAMIC CMOS LEVEL-

SHIFTING CIRCUIT

APPARATUS

Group Art Unit: Not yet assigned

Examiner: Not yet assigned

JUN 2 4 2004

CTERS OF PETITIONS

December 29, 2003

Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450 Attn Mail Stop: Petitions

PETITION UNDER 37 C.F.R. 1.181

In response to the Notice of Abandonment mailed October 27, 2003, in the present Application, the Applicant respectfully submits this Petition to Withdraw Holding of Abandonment under 37 C.F.R. § 1.181.

CERTIFICATE OF EXPRESS MAIL - EV417296549US

I hereby certify that this correspondence is being deposited with sufficient postage in the U.S. Mail, Express Mail Service, addressed to:

Commissioner for Patents P.O. Box 1450 Arlington, VA 22313-1450 Attn Mail Stop: Petitions

Date of Mailing: December 29, 2003

Signature

Brian C McCormack

PETITION TO WITHDRAW HOLDING OF ABANDONMENT

PAGE 1 OF 5

PAGE 8/39 * RCVD AT 6/23/2004 2:35:55 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-1/2 * DNIS:8729306 * CSID:214 965 5900 * DURATION (mm-ss):12-30

I. PETITION TO WITHDRAW NOTICE OF ABANDONMENT UNDER 37 C.F.R. 1.181

The Applicant respectfully requests that this Petition to Withdraw Holding of Abandonment under 37 C.F.R. § 1.181 (this "Petition") be granted and that the Notice of Abandonment in the present Application be withdrawn. The Manual of Patent Examining Procedure (M.P.E.P.) provides that if an application is improperly abandoned, the holding of abandonment may be withdrawn upon petition:

Where an applicant contends that the application is not in fact abandoned . . . a petition under 37 CFR 1.181(a) requesting withdrawal of the holding of abandonment is the appropriate cause of action, and such petition does not require a fee.

M.P.E.P. § 711.03(c). Based upon the following evidence, the Applicant respectfully submits that the present Application was improperly abandoned.

A Notice to File Missing Parts (the "Notice") was allegedly mailed on the present Application on February 27, 2001. However, the Applicant respectfully submits that the Notice was never received by the Applicant at the Correspondence Address designated in the present Application. Rather, according to our review of the prosecution history in this case, the Notice was addressed to Chi Mei Optoelectronics Corporation ("Chi Mei") and was never received by Applicant's attorneys. Consequently, no reply was filed before the Notice response deadline and the present Application became abandoned on October 27, 2003, thirty-two (32) months from the mailing date of the Notice. A Notice of Abandonment Under 37 CFR § 1.53(f) or (g) was mailed October 27, 2003. This Petition is being filed within the two-month response period set forth in 37 C.F.R. § 1.181(f). In addition, it is believed that no fees for this Petition are due. M.P.E.P. 711.03(c).

As stated above, the Notice was addressed to Chi Mei rather than the Correspondence Address designated in the present Application. While Chi Mei is the client of Baker &

PETITION TO WITHDRAW HOLDING OF ABANDONMENT

06/23/04 WED 13:39 FAX 214 965 5900

McKenzie and was an intended assignee of the present Application, no assignment from the inventors to Chi Mei was ever filed with the United States Patent and Trademark Office ("USPTO"). On the original transmittal sheet for the filing of the present Application (EXHIBIT A TO DECLARATION OF ANTOINETTE F. KONSKI), the designated Correspondence Address for the present Application was the Palo Alto office of Baker & McKenzie. The client's Taipei address was only shown as an address for the "Applicant" on an unofficial data sheet submitted with the filing. It should be noted that that the transmittal sheet does not have a check in the checkbox for the official Application Data Sheet according to 37 CFR § 1.76. Rather, the only indication of the inclusion of a data sheet is reflected under part 16 of the heading "Accompanying Application Parts." Furthermore, the data sheet that was included in the present Application does not comply with the requirements of 37 CFR §1.76 and, therefore, should not have been designated the official Application Data Sheet.

In support of the above, a Declaration of Antoinette F. Konski, a previous attorney in the Palo Alto office of Baker & McKenzie, the designated Correspondence Address for the present Application at the time the application was filed and the Notice was mailed, is attached (EXHIBIT B). Ms. Konski states that the Palo Alto never received the Notice dated February 27, 2001 (Konski Decl. ¶10). Additionally, a Declaration of June Lee, a former Patent Administrator in the Taipei office of Baker & McKenzic, is attached (EXHIBIT A), wherein Ms. Lee states that in the normal course of her work she received correspondence from the Palo Alto office on certain patent related matters on which the Palo Alto office was the designated Correspondence Address, including matters that are being handled for clients of the Taipei office, such as the present Application (Lee Decl. ¶4). Ms. Lee further states that to the best of her knowledge, the Taipei office also did not receive the Notice, either from the Palo Alto office or the client, Chi Mei (Lee Decl. ¶5). Furthermore, a Declaration of Gerald Lin, a Patent Engineer in the Taipei office of Baker & McKenzie, is attached (EXHIBIT C), wherein Mr. Lin states that he has searched the file jacket of the present Application and was unable to locate a copy of the Notice, which indicates that the Notice was not received by the Taipei office (Lin Decl. ¶4).

The M.P.E.P. further states that:

The showing required to establish the nonreceipt of an Office communication must include a statement from the practitioner stating that the Office communication was not received by the practitioner and attesting to the fact that a search of the file jacket and docket records indicates that the Office communication was not received. A copy of the docket record where the nonreceived Office communication would have been entered had it been received and docketed must be attached to and referenced in practitioner's statement. For example, if a three month period for reply was set in the nonreceived Office action, a copy of the docket report showing all replies docketed for a date three months from the mail date of the nonreceived Office action must be submitted as documentary proof of nonreceipt of the Office action.

M.P.E.P. 711.03(c). A copy of the docket records of the Palo Alto office of Baker & McKenzie where a response to the non-received Notice would have been entered if the Notice was received (EXHIBIT B TO DECLARATION OF ANTOINETTE F. KONSKI) is attached to and referenced in Ms. Konski's Declaration (Konski Decl. ¶10). The attached docket records illustrate all of the matters docketed for action from January 19, 2001 to July 19, 2002, and illustrates the absence of a docketed response deadline to the Notice during this period. Specifically, the attached docket records for the present Application illustrate all of the matters docketed for action on April 27, 2001, the end of the two-month period for response to the Notice mailed February 27, 2001, and illustrate the absence of a docketed response deadline to the Notice on that date.

In addition, the Declaration of Brian C. McCormack, a registered patent attorney (Reg. No. 36,601) in the Dallas office of Baker & McKenzie, is also attached (EXHIBIT D). The Declaration of Brian McCormack sets forth numerous facts establishing that the Applicant has diligently pursued the preparation and filing of this Petition in order to the revive of the present Application. Accordingly, Applicant respectfully requests the holding of abandonment be withdrawn in the present Application based on the failure to receive the Notice dated February 27, 2001

II. CONCLUSION

In view of the foregoing, the Applicants respectfully request the present Application be revived in response to this Petition. Although no fees are believed to be due with the present Petition, authorization is hereby given to charge any necessary fees that may be required to Deposit Account No. 13-0480, referencing Attorney Docket No. 87157656-002004. The Applicant respectfully requests the undersigned Attorney of Record be contacted with regard to any questions or concerns regarding the present Petition.

Respectfully submitted,

Date: December 29, 2003

Brian C. McCormack Reg. No. 36,601

Attorney for Applicant

BAKER & McKENZIE
2300 Trammell Crow Center
2001 Ross Avenue
Dallas, TX 75201

Tel: (214) 978-3000 Fax: (214) 978-3099

EXHIBIT A

DECLARATION OF JUNE LEE

I, June Lee, a citizen of the R.O.C. and residing at 8F, No. 12, Lane 28, Long An Rd, Shiji, Taipei County, Taiwan, R.O.C. declare:

- 1. My name is June Lee and I am over the age of twenty-one (21) years, of sound mind, and fully competent to testify to the following facts. I have personal knowledge that all facts set forth in this Declaration are true and correct.
- 2. I was the former Patent Administrator in the Taipei office of Baker & McKenzie, which has an address of 15th Floor, Hung Tai Center, No. 168, Tun Hwa North Road, Taipei, Taiwan 105.
- 3. The Palo Alto office of Baker & McKenzie, which has an address of 660 Hansen Way, Palo Alto, California 94304, was the designated Correspondence Address for U.S. Patent Application No. 09/765,966 (the "Application"), on February 27, 2001, the mailing date set forth in the Notice to File Missing Parts issued for the Application.
- 4. In the normal course of my work while I was a Patent Administrator in the Taipei office of Baker & McKenzie, I received correspondence on patent related matters handled by Taipei Office, but on which the Palo Alto office was the designated Correspondence Address.
- 5. While I as a Patent Administrator in the Taipei office of Baker & McKenzie, I did not receive a Notice to File Missing Parts dated February 27, 2001, issued by the United States Patent and Trademark Office from the Palo Alto Office.
- 6. I further declare that all statements made herein of my own personal knowledge are true, and all statements made on information are believed to be true; and furthermore, that the

DECLARATION OF JUNE LEE

statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title XVIII of the United States Code.

SUBSCRIBED AND SWORN TO BEFORE ME on this 23 day of December 2003, to certify which witness my hand and seal of office.

My Commission Expires:

Notary Public in and for the

State of Taiwan, Republic of China

John Lee Printed Name of Notary

Printed Name of Notary

Case No. 00 1 2 9 Date DEC 23 2003 本文件之簽名或蓋章,於台灣台北地方法 院民間公從人處認從。

Attested at the Notary Public of Talwan Taipei District Court, R.O.C. that the signature(s)/seal(s) in this document is/ure authentic.

Notary Public / Pa

公迕人

LEEJOHN-SHIUNG

DECLARATION OF JUNE LEE

PAGE 2 OF 2

EXHIBIT B

DECLARATION OF ANTOINETTE F. KONSKI

- I, Antoinette F. Konski, a citizen of the United States and residing at 2161 Sharon Road, Menlo Park, CA 94025, USA, declare:
- 1. My name is Antoinette F. Konski and I am over the age of twenty-one (21) years, of sound mind, and fully competent to declare as follows. I have personal knowledge that all facts set forth in this Declaration are true and correct.
- 2. I was a patent attorney (Reg. No. 34,202) in the Palo Alto office of Baker & McKenzie, which has an address of 660 Hansen Way, Palo Alto, California 94304, from May 17, 1999 to June 7, 2001, and I was the responsible partner for patent matters being handled from that office.
- 3. I have reviewed the United States Patent & Trademark Office's (USPTO's) prosecution history for U.S. Patent Application No. 09/765,966 (the "Application"), and my review of the prosecution history refreshed my recollection and was consistent with my knowledge of the facts as set forth below.
- 4. On January 19, 2001, I filed the Application on behalf of Baker & McKenzie's local Taipei, Taiwan office client, Chi Mei Optoelectronics Corporation. For the purposes of communication, all correspondence from the U.S. Patent Office received by me in the local Palo Alto office was forwarded to the Baker & McKenzie Taipei office after entry into our patent docketing database.

- 5. On the original transmittal sheet for the filing of this application, which is attached as Exhibit A to this declaration ("Transmittal"), the designated Correspondence Address for the Application was Baker & McKenzie's Palo Alto office, designated for my attention.
- 6. According to the Application's prosecution history, a Notice to File Missing Parts in this Application was mailed by the USPTO on February 27, 2001, but it was mailed to the client's Taipei address, which was only shown as an address for the "Applicant" on an unofficial data sheet submitted with the filing. The Transmittal does not have a check in its checkbox provided for indicating the enclosure of an official Application Data Sheet (37 C.F.R. § 1.75).
- 7. In the normal course of my work while I was an attorney in the Palo Alto office of Baker & McKenzie, I received correspondence on patent-related matters for which the Palo Alto office was the designated Correspondence Address.
- 8. In the normal course of my work, I forwarded correspondence I received on patent related applications filed on behalf of the local Taipei, Taiwan office of Baker & McKenzie, which has an address of 15th Floor, Hung Tai Center, No. 168, Tun Hwa North Road, Taipei, Taiwan 105, when the matters originated in the Taipei office.
- 9. While I was an attorney in the Palo Alto office of Baker & McKenzie, it was our normal procedure to enter all USPTO filings into the docketing system so that a docket report of actions due for such matters could be generated from the docketing system.
- 10. As designated in the attached docket listing of Exhibit B for the relevant period, I did not receive the Notice to File Missing Parts dated February 27, 2001, from the USPTO.
- I1. I further declare that all statements made herein of my own personal knowledge are true, and all statements made on information are believed to be true; and furthermore, that the statements were made with the knowledge that willful, false statements and the like so made are

DECLARATION OF ANTOINETTE F. KONSKI

PAGE 2 OF 3

punishable by fine or imprisonment, or both, under Section 1001 of Title XVIII of the United States Code.

Antoinette F. Konski

SUBSCRIBED AND SWORN TO BEFORE ME on this 19 th day of 2003, to certify which witness my hand and seal of office.

My Commission Expires:

Notary Public in and for the

State of California

Printed Name of Notary

EXHIBIT A TO DECLARATION OF ANTOINETTE F. KONSKI

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EXHIBIT B

DECLARATION OF JUNE LEE

I, June Lee, a citizen of the R.O.C. and residing at 8F, No. 12, Lane 28, Long An Rd, Shiji, Taipei County, Taiwan, R.O.C. declare:

- 1. My name is June Lee and I am over the age of twenty-one (21) years, of sound mind, and fully competent to testify to the following facts. I have personal knowledge that all facts set forth in this Declaration are true and correct.
- 2. I was the former Patent Administrator in the Taipei office of Baker & McKenzic which has an address of 15th Floor, Hung Tai Center, No. 168, Tun Hwa North Road, Taipei, Taiwan 105.
- 3. The Palo Alto office of Baker & McKenzie, which has an address of 660 Hansen Way, Palo Alto, California 94304, was the designated Correspondence Address for U.S. Patent, Application No. 09/765,966 (the "Application"), on February 27, 2001, the mailing date set forth in the Notice to File Missing Parts issued for the Application.
- 4. In the normal course of my work while I was a Patent Administrator in the Taipei office of Baker & McKenzie, I received correspondence on patent related matters handled by Taipei Office, but on which the Palo Alto office was the designated Correspondence Address.
- 5. While I was a Patent Administrator in the Taipei office of Baker & McKenzie, I did not receive a Notice to File Missing Parts dated February 27, 2001, issued by the United States Patent and Trademark Office from the Palo Alto Office.
- 6. I further declare that all statements made herein of my own personal knowledge are true, and all statements made on information are believed to be true; and furthermore, that the

DECLARATION OF JUNE LEE

PAGE 1 OF 2



statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title XVIII of the United States Code.

SUBSCRIBED AND SWORN TO BEFORE ME on this 23 day of December 2003, to certify which witness my hand and seal of office.

My Commission Expires:

Notary Public in and for the

State of Taiwan, Republic of China

John Lee

Printed Name of Notary

日期 Case No. 00 1 2 9 Date DEC 23 2003 本文件之簽名或蓝章,於台灣台北地方法 院民間公證人處認證。 Attested at the Notary Public of Taiwan Taipei District Court. R.O.C. that the signature(s)/seal(s) in this document is/are authentic. Notary Public / 公證人

Leejohn-shiung

Printed Name of Notary

EXHIBIT D

DECLARATION OF BRIAN McCORMACK

- I, Brian C. McCormack, a citizen of the United States of America and residing at 5204 Meadowchase Lane, Flower Mound, Texas 75028, declare:
- 1. My name is Brian C. McCormack and I am over the age of twenty-one (21) years, of sound mind, and fully competent to testify to the following facts. I have personal knowledge that all facts set forth in this Declaration are true and correct.
- I am a registered patent attorney (Reg. No. 36,601) at the firm of Baker &
 McKenzie at the Dallas, Texas office, which has an address of 2001 Ross Avenue, Suite 2300,
 Dallas, Texas 75201.
- 3. On November 13, 2003, the Dallas office received an inquiry from the Taipei office of Baker & McKenzie, which has an address of 15th Floor, Hung Tai Center, No. 168, Tun Hwa North Road, Taipei, Taiwan 105, regarding the filing of a Petition to Withdraw Holding of Abandonment for U.S. Patent Application No. 09/765,966, which has an Attorney Docket No. 87157656-002004 (the "Application").
- 4. On November 13, 2003, I began an investigation into the abandonment of the Application, and the actions available to be taken regarding this matter.
- 5. On December 4, 2003, I contacted Gerald Lin of the Taipei, Taiwan office of Baker & McKenzie, which has an address of 15th Floor, Hung Tai Center, No. 168, Tun Hwa North Road, Taipei, Taiwan 105, and informed him that the Dallas office would prepare the appropriate document requesting that the Application be revived or the abandonment of the Application be withdrawn.

DECLARATION OF BRIAN C. MCCORMACK

- 6. Since November 13, 2003, the Dallas office has diligently pursued, without undue delay and with the cooperation of Gerald Lin, Antoinette F. Konski and June Lee, the preparation of a Petition to Withdraw Holding of Abandonment for the Application, including accompanying Declarations, until the date the Petition was filed with the United States Patent and Trademark Office.
- 7. I further declare that all statements made herein of my own personal knowledge are true, and all statements made on information are believed to be true; and furthermore, that the statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title XVIII of the United States Code.

Brian C. McCormack

SUBSCRIBED AND SWORN TO BEFORE ME on this 29th day of December 2003, to certify which witness my hand and seal of office.

My Commission Expires:

JANA TAYLOR

MY COMMISSION EXPIRES

September 12, 2005

Notary Public in and for the

State of _

LINA TRYLOR

EXHIBIT C

DECLARATION OF GERALD LIN

- I, Gerald Lin, a citizen of R.O.C. and residing at 3F-5, No. 381, Sec. 2, Fu Hsin S. Rd. Taipei 106, Taiwan, R.O.C., declare:
- 1. My name is Gerald Lin and I am over the age of twenty-one (21) years, of sound mind, and fully competent to testify to the following facts. I have personal knowledge that all facts set forth in this Declaration are true and correct.
- 2. I am a patent engineer at the Taipei office of Baker & McKenzie, which has an address of 15th Floor, Hung Tai Center, No. 168, Tun Hwa North Road, Taipei, Taiwan 105.
- 3. On November 10, 2003, I received a letter from Irene Keselman of the New York office of Baker & McKenzie informing me that a Notice of Abandonment issued on October 27, 2003, for U.S. Patent Application No. 69/765,966, which has an Attorney Docket No. 87157656-002004 (the "Application"), due to failure to reply to a Notice to File Missing Parts mailed February 27, 2001 (the "Notice").
- 4. I have searched the file jacket of the Application and was unable to locate a copy of the Notice, which indicates that the Notice was not received by the Taipei office.
- 5. I further declare that all statements made herein of my own personal knowledge are true, and all statements made on information are believed to be true; and furthermore, that the statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title XVIII of the United States Code.

DECLARATION OF GERALD LIN

PAGE 1 OF 2



Case No. 0013 Opate DEC 24 2003 本文件之签名或基章、於台灣台北地方法 院民間公陸人處認證。 Attested at the Notary Public of Talwan Taipei District Court, R.O.C. that the signature(s)/seal(s) in this document is/are authentic. Notary Public 2005 全途人 李忠雄 LEEJOHN-SHIUNG

DECLARATION OF GERALD LIN

PAGE 2 OF 2

EXHIBIT C

DECLARATION OF GERALD LIN

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DECLARATION OF GERALD LIN

PAGE 1 OF 2

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SUBSCRIBED AND SWORN TO BEFORE ME on this_ 2003, to certify which witness my hand and seal of office.

My Commission Expires: December 31, 2011

Notary Public in and for the

State of ____

Printed Name of Notary

定號 Case No. 0013 ODate DEC 24 2003 本文件之簽名或董章',於台灣台北地方法 院氏問公姓人處切婚。

Attested at the Notary Public of Taiwan Taipei District Court, R.O.C. that the signature(s)/seal(s) in this document is/are authentic.

Notary Public Za 公遊人

LEEJOHN-SHIUNG

DECLARATION OF GERALD LIN

PAGE 2 OF 2

EXHIBIT B

DECLARATION OF JUNE LEE

- I, June Lee, a citizen of the R.O.C. and residing at 8F, No. 12, Lane 28, Long An Rd, Shiji, Taipei County, Taiwan, R.O.C. declare:
- 1. My name is June Lee and I am over the age of twenty-one (21) years, of sound mind, and fully competent to testify to the following facts. I have personal knowledge that all facts set forth in this Declaration are true and correct.
- 2. I was the former Patent Administrator in the Taipei office of Baker & McKenzie which has an address of 15th Floor, Hung Tai Center, No. 168, Tun Hwa North Road, Taipei, Taiwan 105.
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DECLARATION OF JUNE LEE

PAGE 1 OF 2



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June Lee

SUBSCRIBED AND SWORN TO BEFORE ME on this 23 day of December 2003, to certify which witness my hand and seal of office.

My Commission Expires:

December 31.201/

Notary Public in and for the

State of Taiwan, Republic of China

John Lee Printed Name of Notary

来號
Case No. 00 1 2 9 Date DEC 23 2003
本文件之簽名或蓋章,於台灣台北地方法院民間公證人處認證。
Attested at the Notary Public of Taiwan Taipei District Court, R.O.C. that the signature(s)/seal(s) in this document is/are authentic.

Notary Public 公经人

李忠雄 LEEJOHN-SHIUNG Printed Name of Notary

DECLARATION OF JUNE LEE

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EXHIBIT B



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Application No.: Filing Date: Client:

Attorney Docket No.: Atty:

09/765;966 January 19, 2001 HiMAX Technologies, Inc. 87157656.242004

James H. Ortega

February 24, 2004

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Office of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. FEE TRANSMITTA Complete If Known Application Number The same 09/765,966 for FY 2004 The Person Filing Date January 19, 2001 Effective 10/01/2003, Patent fees are subject to annual revision. First Named Inventor Linkai Bu Examiner Name **40U4** Applicant claims small entity status. See 37 CFR 1.27 Unassigned Art Unit Unassigned The Pr TOTAL AMOUNT OF PAYMENT (\$) 1000.00 Attorney Docket No 87157656.242004 METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Check Credit card 3. ADDITIONAL FEES Other None JUN 2 4 2004 Large Entity , Small Entity Deposit Account: Fee Description

55 Surcharge - late filing fee of dath Deposit Fee Code 13-0480 Code (\$) Account Number 1051 130 2051 Doposit Account Baker & McKenzie 1052 50 2052 Surcharge - late provisional filing fee or Name cover sheet The Director is authorized to: (check all that apply) 1053 130 1053 130 Non-English specification Charge fee(s) indicated below 1812 2,520 1812 2,520 For filing a request for ex parte reexamination Credit any overpayments Charge any deficiencies 1804 920" Requesting publication of SIR prior to 920 1804 Examiner action Charge fee(s) indicated below, except for the filing fee Requesting publication of SIR after Examiner action 1805 1,840 to the above-identified deposit account 1805 1.8401 FEE CALCULATION 1251 110 2251 55 Extension for reply within first month 1. BASIC FILING FEE 1252 420 2252 210 Extension for reply within second month arge Entity Small Entity 1253 950 2253 475 Extension for reply within third month Fee Fee Code (\$) Fee Description Fee Paid ode (\$) 1254 1,480 2254 740 Extension for reply within fourth month 1001 770 2001 385 Utility filling fee 1255 2.010 2255 1,005 Extension for reply within fifth month 710.00 1002 340 2002 170 Design filing fee 1401 330 2401 165 Notice of Appeal 1003 530 2003 265 Plant filing fee 1402 330 2402 165 Filing a brief in support of an appeal 1004 770 2004 385 Reissue filing fee 145 Request for oral hearing 1403 290 2403 1005 160 2005 Provisional filing fee 1451 1,510 Petition to institute a public use proceeding 1451 1,510 SUBTOTAL (1) (\$) 710.00 1452 110 2452 55 Petition to revive - unavoidable 1453 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1.330 2453 665 Petition to revive - unintentional 1501 1.330 665 Utility issue fee (or relssue) 2501 from Ext<u>ra Claim</u>s Fee Paid below 1502 480 2502 240 Design issue fee Total Claims -20 X 1503 Independent Claims 640 2503 320 Plant issue fee x 80 1460 130 1480 Multiple Dependent 130 Petitions to the Commissioner 130.00 1807 50 1807 50 Processing fee under 37 CFR 1,17(q) Large Entity Small Entity 1806 180 1806 180 Submission of Information Disclosure Strat Fee Fee Code (\$) Fea Fee Description Code (\$) 40 Recording each patent assignment per 8021 40 8021 1202 18 2202 Ciaims in excess of 20 property (times number of properties) 385 Filing a submission after final rejection (37 CFR 1.129(a)) 1809 770 2809 1201 88 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid 385 For each additional invention to be examined (37 CFR 1.129(b)) 181D 770 2810 1204 86 ** Reissua independent claims 2204 over original patent 1801 770 2801 385 Request for Continued Examination (RCE) 1205 18 2205 Reissue daims in excess of 20 1802 900 1802 900 Request for expedited examination and over original patent of a design application (\$) 160.00 Other fee (specify) SUBTOTAL (2) or number previously paid, if greater, For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130.00 SUBMITTED BY (Complete (if applicable)) Name (Print/Type) James H. Ortega Registration No. 50,554 Telephone 214.978.3058 Signature February 24, 2004

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As a below named inventor, I	(we) hereby declare than		<u> </u>	• • •
My residence, post office addr	ess and citizenship are as stated below	Next to my name.		
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	ration For Patent Application and PCT International Applications)	ATTORNEY DOCKET NUMBER 41181-682094			
I hereby claim the ber of America that is/are manner provided by a defined in Title 97, Co filing date of this appl	nefit under Title 35, United States Code 51 listed below and, insofar as the subject 1 he first puragraph of Title 35, United St ode of Federal Regulations §1.56 which be teation:	120 of any United States application(s) or PC matter of each of the chims of this applications asks Code §112, I acknowledge the duty to seeme available between the filing date of the	T international application is not disclosed in the disclosed in the disclosed in the disclosed are applications of an applications of a applicatio	ion(s) designating	the United Sta plication(s) in o patentability
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		appoint the following attorney(s) and/or a twith: MITCHELL P. HROOK, Reg. No.			
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Additional Inventors

(Includes Reference to	ration For Patent Application and Power of PCT International Applications)	of Attorney (Continued	J)	ATTORNEY DOCKET NUMBER 41181-582904
I hereby declare that a further that these state section 1001 of Title 1	all statements made herein of my own knowledge as ments were made with the knowledge that willful f 18 of the United States Code, and that such willful s FAMILT NAME	o true and that all statements also statements and the like a	made on informat	ion and bolief are believed to be trac; and able by these r imprisonment, or both, under
2 BYVENTOR	Hung	Kun-Cheng	o the valuery of t	be application or any palent issuing thereon. SECOND GIVEN NAME
3 INVENTOR'S SIGNATURE	Kun-Cheng Hung	(Gran)	DATE	
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2 FULL NAME OF INVENTOR	FAMILY NAMB Chen	PROTOVENNAME Chien-Pin	·	RECOND GIVEN NAME
4 INVENTOR'S SIGNATURE	Chien-fin Chan	(Sign)	DATE	
CLISTENCE &	Cry Tainan	STATE OR POREIGN COUNT. Taiwan, R.O.C.	RY	COUNTRY OF CITIZENSIAN R.O.C., Taiwan
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INVENTOR'S SIGNATURE			DATE	
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UTILITY PATENT APPLICATION Atty. Docket No. 87157656-242004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Linkai Bu et al.

Serial No.: 09/765,966

Filed:

January 19, 2001

For:

DYANAMIC CMOS LEVEL-

SHIFTING CIRCUIT

APPARATUS

Group Art Unit: Not yet assigned

Examiner: Not yet assigned

February 24, 2004

Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450 Attn Mail Stop: **Petitions**

SUPPLEMENTAL PETITION UNDER 37 C.F.R. 1.181

Further to Applicants' Petition filed on December 29, 2003, Applicants hereby respectfully submits a Supplemental Petition. Applicants inadvertently omitted the inventor's declaration with the petition filed on December 29, 2003 as required by the Notice of Missing Parts dated February 27, 2001.

Applicants have enclosed a check in the amount of \$1000.00 to accommodate the amount of \$710.00 for the basic filing fee; \$160.00 for the additional claims; and \$130.00 for surcharge for late filing of the oath and declaration. The Commissioner is authorized to charge Deposit Account 13-0480, for any additional fees that may be necessary for this filing.

CERTIFICATE OF EXPRESS MAIL EV 417296725 US

I, James H. Ortega, hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail" post office to Addressee" service under 37 C.F.R. 1.10 on the date indicated below and addressed to Mail Stop: Petitions address above.

Signature:

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February 24, 2004

SUPPLEMENTAL PETITION

PAGE 1 OF 2

Applicants respectfully request entry of this Supplemental Petition and further request the present application be reviewed in response to the petition filed December 29, 2003.

Respectfully submitted,

Date: February 24, 2004

James H. Ortega Reg. No. 50,554

Attorney for Applicant

BAKER & McKENZIE 2300 Trammell Crow Center 2001 Ross Avenue Dallas, TX 75201

Tel: (214) 978-3058 Fax: (214) 978-3099

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